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Date of Deposit: 16 August 2004

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Goddard et al.

Appln. No.: 10/735,014

Filed: December 12, 2003

For: SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME

Examiner: n/a

Art Unit: 1636

Attorney Docket No: 10466/486 (P2548P1C13-C1)

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal Letter (dupl.); Information Disclosure Statement; Form PTO-1449; with copies of listed references A-1 through A-59 and B1 through B-70
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

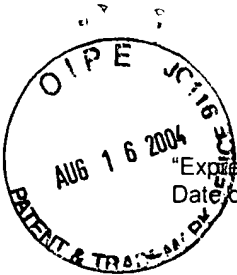
## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

16 August 2004  
DateG. Noel Kaman  
G. Noel Kaman (Reg. No. 51,857)

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"Express Mail" mailing label number **EV 325989938 US**  
Date of Deposit: 16 August 2004

Our Case No.: 10466/486  
P2548P1C13-C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Goddard et al.

Serial No.: 10/735,014

Filing Date: 12/12/2003

For: Secreted and transmembrane  
polypeptides and nucleic acids  
encoding the same

Examiner: To Be Assigned

Group Art Unit No.: 1646

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following

No.	Name
A1	Ashkenazi, A.J., et al, GenBank Accession No. P_ADB96522, 11/15/2001
A2	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA39496, 11/15/2001
A3	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA06803, 11/16/2001
A4	Ashkenazi, A.J., et al, GenBank Accession No. P_ACD39623, 11/16/2001
A5	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA22637, 11/19/2001
A6	Ashkenazi, A.J., et al, GenBank Accession No. P_ACH65633, 11/15/2001
A7	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA93076, 11/14/2001
A8	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA38955, 11/14/2001
A9	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA94730, 11/15/2001
A10	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA28150, 11/14/2001
A11	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA18042, 11/14/2001
A12	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA10498, 11/19/2001

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A13	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA21711, 11/15/2001
A14	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA38025, 11/15/2001
A15	Ashkenazi, A.J., et al, GenBank Accession No. P_ACD82072, 11/20/2001
A16	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA88565, 11/16/2001
A17	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA68116, 11/20/2001
A18	Baker, K.P., et al, GenBank Accession No. P_ACA04368, 8/30/2001
A19	Baker, K.P., et al, GenBank Accession No. P_ABX34148, 8/31/2001
A20	Wood, W.I., et al, GenBank Accession No. P_AAX80059, 12/1/1998
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A24	Baker, K.P., et al, GenBank Accession No. P_ABX75504, 8/31/2001
A25	Ashkenazi, A.J., et al, GenBank Accession No. P_ABX78062, 11/15/2001
A26	Ashkenazi, A.J., et al, GenBank Accession No. P_ABX80474, 11/14/2001
A27	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA69380, 11/14/2001
A28	Ashkenazi, A.J., et al, GenBank Accession No. P_ABX90451, 11/14/2001
A29	Ashkenazi, A.J., et al, GenBank Accession No. P_ABX64297, 11/20/2001
A30	Baker, K.P., et al, GenBank Accession No. P_ABX89495, 8/31/2001
A31	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA64519, 11/19/2001
A32	Baker, K.P., et al, GenBank Accession No. P_ABX96832, 8/31/2001
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A36	Ashkenazi, A.J., et al, GenBank Accession No. P_ACD44487, 11/14/2001
A37	Baker, K.P., et al, GenBank Accession No. P_ABX75951, 8/30/2001
A38	Baker, K.P., et al, GenBank Accession No. P_ABX89662, 8/31/2001
A39	Ashkenazi, A.J., et al, GenBank Accession No. P_ABX17261, 11/19/2001
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A46	Baker, K.P., et al, GenBank Accession No. AX403627, 12/7/2000
A47	Valenzuela, D., et al, GenBank Accession No. P_AAC59840, 3/17/2000
A48	Ota, T., et al, GenBank Accession No. P_AAH18096, 7/28/2000
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A53	Strausberg, R.L., et al, GenBank Accession No. BC032998, 7/21/2002
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A59	Isogai, T., et al, GenBank Accession No. AK001160, 2/16/2000

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B2	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD07134, 11/14/2001
B3	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD08885, 11/16/2001
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B48	Ashkenazi, A.J., et al, GenBank Accession No. P_ABU72590, 11/19/2001
B49	Baker, K.P., et al, GenBank Accession No. P_ABU60244, 8/31/2001
B50	Ashkenazi, A.J., et al, GenBank Accession No. P_ABU14005, 11/20/2001
B51	Ashkenazi, A.J., et al, GenBank Accession No. P_ABU60623, 11/14/2001
B52	Ashkenazi, A.J., et al, GenBank Accession No. P_ABU82704, 11/14/2001
B53	Ashkenazi, A.J., et al, GenBank Accession No. P_ABU59192, 11/14/2001
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B57	Ota, T., et al, GenBank Accession No. P_AAB95464, 7/28/2000
B58	Valenzuela, D., et al, GenBank Accession No. P_AAB34739, 3/17/2000
B59	Baker, K.P., et al, GenBank Accession No. P_AAB01325, 12/1/1999
B60	Ashkenazi, A.J., et al, GenBank Accession No. P_AAB33428, 3/2/2000
B61	Wood, W.I., et al, GenBank Accession No. P_AAY17834, 12/1/1998
B62	Ota, T., et al, GenBank Accession No. CAE91819, 2/7/2001
B63	Isogai, T., et al, GenBank Accession No. BAB14621, 8/23/2000
B64	Clark, H.F., et al, GenBank Accession No. AAQ88926, 8/1/2003
B65	Clark, H.F., et al, GenBank Accession No. NP_060520, 2003
B66	Strausberg, R., GenBank Accession No. AAH32998, 6/21/2002
B67	Ota, T., et al, GenBank Accession No. P_AAB92667, 7/28/2000
B68	Ota, T., et al, GenBank Accession No. CAE89131, 2/7/2001
B69	Isogai, T., et al, GenBank Accession No. BAA91526, 2/16/2000
B70	Tang, Y.T. et al GenBank Accession No. P_AAM25899, 12/22/2000

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Furthermore, no item in this Information Disclosure Statement is admitted as being prior art, whether dated before or after the filing date of the instant application (Ser. No. 10/735,014).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation application of U.S. Serial No. 09/944,852, filed August 31, 2001, which is relied upon for an earlier filing dated under 35 U.S.C. § 120.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

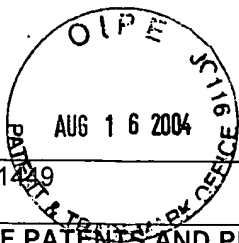
Respectfully submitted,



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C. Noel Kaman  
Registration No. 51,857  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/735,014	CASE NO. 10466/486
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE December 12, 2003	GROUP ART UNIT 1636
APPLICANT(S): Goddard et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	Ashkenazi, A.J., et al, GenBank Accession No. P_ADB96522, 11/15/2001
	A2	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA39496, 11/15/2001
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	A8	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA38955, 11/14/2001
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	A11	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA18042, 11/14/2001
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	A16	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA88565, 11/16/2001
	A17	Ashkenazi, A.J., et al, GenBank Accession No. P_ACA68116, 11/20/2001

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/735,014	CASE NO. 10466/486
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(use several sheets if necessary)	APPLICANT(S): Goddard et al.	

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	A18	Baker, K.P., et al, GenBank Accession No. P_ACA04368, 8/30/2001
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	A54	Jacobs, D., et al, GenBank Accession No. P_AAA16637, 3/17/2000
EXAMINER	DATE CONSIDERED	

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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A55	Jacobs, K., et al., GenBank Accession No. BD227259, 7/23/2002
	A56	Ota, T., et al, GenBank Accession No. P_AAH13971, 7/28/2000
	A57	Ota, T., et al, GenBank Accession No. AX876122, 2/7/2001
	A58	Ota, T., et al, GenBank Accession No. BD155963, 7/9/2002
	A59	Isogai, T., et al, GenBank Accession No. AK001160, 2/16/2000
	B1	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC83381, 11/15/2001
	B2	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD07134, 11/14/2001
	B3	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD08885, 11/16/2001
	B4	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC82705, 11/15/2001
	B5	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD07814, 11/19/2001
	B6	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC82172, 11/14/2001
	B7	Ashkenazi, A.J., et al, GenBank Accession No. P_ADD08347, 11/14/2001
	B8	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC14815, 8/28/2001
	B9	Baker, K.P., et al, GenBank Accession No. P_ADC25718, 8/31/2001
	B10	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC11693, 11/14/2001
	B11	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC56648, 11/15/2001
	B12	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC12226, 11/15/2001
	B13	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC55359, 11/16/2001
	B14	Baker, K.P., et al, GenBank Accession No. P_ADC25597, 8/30/2001
	B15	Baker, K.P., et al, GenBank Accession No. P_ADC25839, 8/30/2001
	B16	Ashkenazi, A.J., et al, GenBank Accession No. P_ADC57995, 11/14/2001
	B17	Ashkenazi, A.J., et al, GenBank Accession No. P_ADB96523, 11/15/2001
	B18	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA39497, 11/15/2001
	B19	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA06804, 11/16/2001
	B20	Ashkenazi, A.J., et al, GenBank Accession No. P_ABO22621, 11/16/2001
	B21	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA22638, 11/19/2001
	B22	Ashkenazi, A.J., et al, GenBank Accession No. P_ABO53251, 11/15/2001
	B23	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA93077, 11/14/2001
	B24	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA38956, 11/14/2001
	B25	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA94731, 11/15/2001
	B26	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA28151, 11/14/2001
	B27	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA18043, 11/14/2001
	B28	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA10499, 11/19/2001
	B29	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA21712, 11/15/2001
	B30	Ashkenazi, A.J., et al, GenBank Accession No. P_ADA38026, 11/15/2001
	B31	Ashkenazi, A.J., et al, GenBank Accession No. P_ABO34165, 11/20/2001

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	B32	Ashkenazi, A.J., et al, GenBank Accession No. P ABU88651, 11/16/2001
	B33	Ashkenazi, A.J., et al, GenBank Accession No. P ABU81712, 11/20/2001
	B34	Baker, K.P., et al, GenBank Accession No. P ABU67135, 8/30/2001
	B35	Baker, K.P., et al, GenBank Accession No. P ABU11316, 8/31/2001
	B36	Ashkenazi, A.J., et al, GenBank Accession No. P ABU10960, 11/19/2001
	B37	Ashkenazi, A.J., et al, GenBank Accession No. P ABU92254, 11/20/2001
	B38	Ashkenazi, A.J., et al, GenBank Accession No. P ABU59488, 11/14/2001
	B39	Ashkenazi, A.J., et al, GenBank Accession No. P ABU92423, 11/14/2001
	B40	Ashkenazi, A.J., et al, GenBank Accession No. P ABU59045, 11/19/2001
	B41	Baker, K.P., et al, GenBank Accession No. P ABU60355, 8/31/2001
	B42	Baker, K.P., et al, GenBank Accession No. P ABU56315, 8/30/2001
	B43	Ashkenazi, A.J., et al, GenBank Accession No. P ABO26036, 11/14/2001
	B44	Ashkenazi, A.J., et al, GenBank Accession No. P ABU59339, 11/15/2001
	B45	Baker, K.P., et al, GenBank Accession No. P ABU57250, 8/30/2001
	B46	Baker, K.P., et al, GenBank Accession No. P ABU58364, 8/30/2001
	B47	Baker, K.P., et al, GenBank Accession No. P ABU64930, 8/31/2001
	B48	Ashkenazi, A.J., et al, GenBank Accession No. P ABU72590, 11/19/2001
	B49	Baker, K.P., et al, GenBank Accession No. P ABU60244, 8/31/2001
	B50	Ashkenazi, A.J., et al, GenBank Accession No. P ABU14005, 11/20/2001
	B51	Ashkenazi, A.J., et al, GenBank Accession No. P ABU60623, 11/14/2001
	B52	Ashkenazi, A.J., et al, GenBank Accession No. P ABU82704, 11/14/2001
	B53	Ashkenazi, A.J., et al, GenBank Accession No. P ABU59192, 11/14/2001
	B54	Ashkenazi, A.J., et al, GenBank Accession No. P ABU58114, 11/15/2001
	B55	Baker, K.P., et al, GenBank Accession No. P ABU55934, 8/31/2001
	B56	Ashkenazi, A.J., et al, GenBank Accession No. P AAB65299, 3/30/2000
	B57	Ota, T., et al, GenBank Accession No. P AAB95464, 7/28/2000
	B58	Valenzuela, D., et al, GenBank Accession No. P AAB34739, 3/17/2000
	B59	Baker, K.P., et al, GenBank Accession No. P AAB01325, 12/1/1999
	B60	Ashkenazi, A.J., et al, GenBank Accession No. P AAB33428, 3/2/2000
	B61	Wood, W.I., et al, GenBank Accession No. P AAY17834, 12/1/1998
	B62	Ota, T., et al, GenBank Accession No. CAE91819, 2/7/2001
	B63	Isogai, T., et al, GenBank Accession No. BAB14621, 8/23/2000
	B64	Clark, H.F., et al, GenBank Accession No. AAQ88926, 8/1/2003
	B65	Clark, H.F., et al, GenBank Accession No. NP_060520, 2003
	B66	Strausberg, R., GenBank Accession No. AAH32998, 6/21/2002
	B67	Ota, T., et al, GenBank Accession No. P AAB92667, 7/28/2000
	B68	Ota, T., et al, GenBank Accession No. CAE89131, 2/7/2001

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B69	Isogai, T., et al, GenBank Accession No. BAA91526, 2/16/2000	
B70	Tang, Y.T. et al GenBank Accession No. P_AAM25899, 12/22/2000	

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